

RECEIVED
CENTRAL FAX CENTER

DEC 12 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of E. C. Leuthardt
Serial No.: 10/735,474
Confirmation No. 2473
Filed: December 12, 2003
Entitled: BRAIN COMPUTER INTERFACE

Group Art Unit 3736
Examiner: P. Mallari

DECLARATION UNDER 37 C.F.R. § 1.131

I, Eric C. Leuthardt, declare and state as follows:

1. I am a co-inventor of the subject matter claimed in U.S. Patent Application 10/735,474 entitled, "BRAIN COMPUTER INTERFACE," which was filed December 12, 2003.
2. U.S. Patent Application Publication No. US 2005/0113744 A1 was cited against claims of the subject application in an Office Action dated July 11, 2005. U.S. Patent Application Publication No. US 2005/0113744 A1 has a priority date of November 21, 2003, which is twenty days before the priority date of the subject application.
3. Attached as Exhibit A is a copy of my laboratory notes dated March 7, 2003 showing results for a Screening Protocol conducted with subject JJ using the Brain Computer Interface of the subject patent application.
4. Attached as Exhibit B is a copy of my laboratory notes dated May 21, 2003 showing results for a Screening Protocol conducted with subject DW using the Brain Computer Interface of the subject patent application.
5. Based on the attached exhibits, it is evident I and my co-inventors constructively reduced to practice the invention recited in the claims of the subject application before the priority date of U.S. Patent Application Publication No. US 2005/0113744 A1 and diligently pursued filing U.S. Patent Application 10/735,474 during a period of time beginning before the priority date of U.S. Patent Application Publication No. US 2005/0113744 A1 and lasting at least until the filing date of Application Ser. No. U.S. Patent Application 10/735,474.

23185719v1

4. I hereby declare that the statements made of my own knowledge are true and that all statements made on information or belief are believed to be true. I acknowledge that willful false statements and the like are punishable by fine or imprisonment or both (18 U.S.C. § 1001) and may jeopardize the validity of this application or any patent issuing therefrom.

Date:

11/10/05
Eric C. Leuthardt, M.D.

EXHIBIT A

RECEIVED
CENTRAL FAX CENTER

DEC 12 2005

Purpose:

Screening Protocol St. Louis 03/07/2003

Initials: JJ

Date: 3/7/02Technician: Eric Leubandt

Run	Duration	Task	.prm File	Comments
(1)	1 min	resting with eyes open	base60	
(2)	1 min	resting with eyes closed	base60	noised @ arm
(3)	2 min	opening and closing left/right hand	horizontal	Did well
(4)	2 min	imagining opening and closing left/right hand	horizontal	focused well
(5)	2 min	protrude tongue in and out	onetarget	protruded tongue
(6)	2 min	imagining to protrude tongue in and out	onetarget	
(7)	2 min	saying a word "move"	onetarget	Seemed more distracted
(8)	2 min	imagine saying a word "move"	onetarget	Said word only once
(9)	3 min	joystick task	joystick	
(10)	2 min	opening and closing left/right hand	horizontal	some excessive arm movement
(11)	2 min	imagining opening and closing left/right hand	horizontal	
(12)	2 min	protrude tongue in and out	onetarget	inconsistent - did it consistently
(13)	2 min	imagining to protrude tongue in and out	onetarget	seemed hyperkinetic
(14)	2 min	saying a word "move"	onetarget	seemed inconsistent
(15)	2 min	imagine saying a word "move"	onetarget	seemed focused
(16)	3 min	joystick task	joystick	did well
(17)	2 min	opening and closing left/right hand	horizontal	inconsistent

18	2 min	imagining opening and closing left/right hand	horizontal	
19	2 min	protrude tongue in and out	onetarget	
20	2 min	imagining to protrude tongue in and out	onetarget	protrudes
21	2 min	saying a word "move"	onetarget	
22	2 min	imagine saying a word "move"	onetarget	
23	3 min	joystick task	joystick	

VERY PERSEVERATIVE → goes say 1 step points

UL:

- JJ is fully recovered enough to complete all the tasks required for the screening protocol. The protocol is attached above.
- He is somewhat tired and pained here with the tasks.
- Data acquired is good.
- We will attempt to close loop tomorrow.
- Note: we have cancelled building the computer useful pin out diagrams for simulation in back

RE: THE FILTERS IN THE ACQUISITION COMPUTER AND THE ANALOG COMPUTER WERE ON. THIS PROBABLY MEANS THAT HIGHER FREQUENCIES ABOVE 70 Hz WERE FILTERED OUT.

EXHIBIT B

Session DW 001

Date: 5/21/03 - 5/22

Screening Session: 001

Run	Task	.prm file	Comments
001 ✓	Resting eyes open	Base60	did well
002 ✓	Resting eyes closed	Base60	did well
003 ✓	Open closing right/left hand	horizontal	did well
004 ✓	Imagine Open closing right/left hand	horizontal	too fast
005 ✓	Protrude tongue in and out	Onetarget	did well
006 ✓	Imagine protrude tongue in and out	Onetarget	did well
007 ✓	Say word move	Onetarget	did well
008 (5/22/03)	Imagine saying word move "us"	Onetarget	Network crashed (5/22)
009 ✓	joystick	joystick	did well
010 ✓	Open closing right/left hand	horizontal	did well
011 ✓	Imagine Open closing right/left hand	horizontal	did well
012 ✓	Protrude tongue in and out	Onetarget	did well
013 ✓	Imagine protrude tongue in and out	Onetarget	did well
014 ✓	Say word move	Onetarget	did well
015 ✓	Imagine saying word move	Onetarget	did well
016 ✓	joystick	joystick	did well
017 ✓	Open closing right/left hand	horizontal	did well
018 ✓	Imagine Open closing right/left hand	horizontal	did well
019 ✓	Protrude tongue in and out	Onetarget	did well
020 ✓	Imagine protrude tongue in and out	Onetarget	telling asump
021 ✓	Say word move	Onetarget	did well
022 ✓	Imagine saying word move	Onetarget	did well
023 ✓	joystick	joystick	did well

024

joystick

did well

did well

Pre-Testing Check List — 5/21/05 : DW

X	Unplug Bed
X	Unplug Fan and turn off TV
X	Use cortical lead as reference (most inactive lead) requires tech assistance
X	Change the HIGH filter settings on the acquisition computer (in EEG monitor lab) to OFF
-	Make sure that the acquisition frequency is set at 500 HZ
	Uncheck the analog printer filter settings on the BCI computer

Names of Individuals involved :

Eric Leuthardt

Gerwin Schalk

Dan Moran

Note = reference = scalp

Post Testing Check List

- | | |
|--|---|
| | Make sure name and run are correct following each run |
| | Make sure patient is reconnected to local network and being monitored |

Note : In checking parameter files we noted that the last one was sampled @ 500hz. Therefore we need to rev data accordingly to 500hz. Because beamanalysis analyzed the data according to

etc. add to check list - the reversed pm parameters

SOURCE

- * Sampling rate 500
- Software channels 32
- Sample Block size 20

MEM FACTOR

Bandwidth 2

Model order 18

MEM window 7

Channel 1-18 25

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.